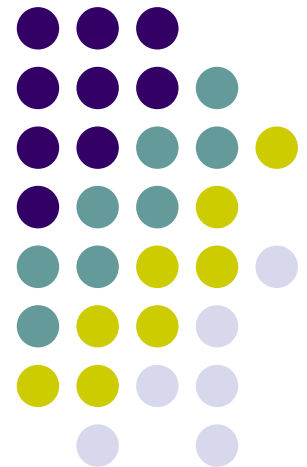


# Cost-Optimization of IPv4 Zeroconf Protocol

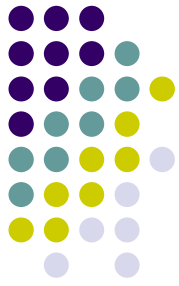
Henrik Bohnenkamp, Peter der Stok, Holger Hermanns, Frits Vaandrager

Ad hoc Networking  
Models and Methods

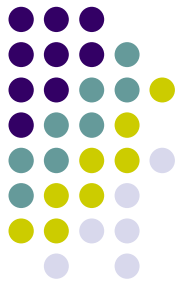
By Mohammad Alrifai



# Outline



- Motivation
- IPv4 Zeroconf Protocol
- The Model
  - Family of DRMs
  - No answer probabilities
  - Abstrat cost
- Mean total cost
  - Cost function
  - Optimization
- Reliability
- Assesing the protocol
- conclusion



# Motivation

- Electronic devices to get connected via Local network based on IP protocol
- IP protocol required to get upper layer protocols supported (ftp etc...)
- No DHCP server
- No manual configuration
- Problem:
  - How to assign unique IP address?
  - Self-configuring (plug and play) devices





# Motivation

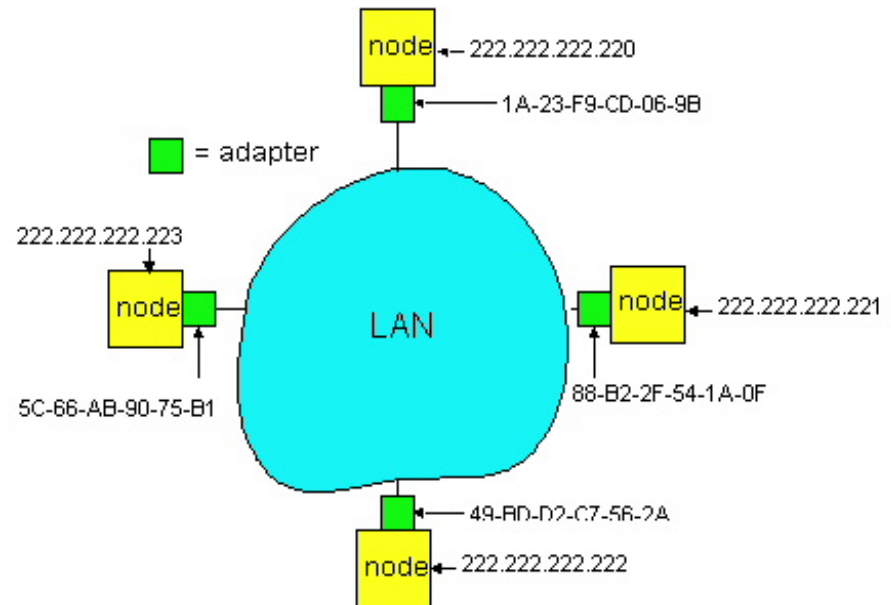
- Proposed IPv4 zeroconf Protocol
  - Internet-draft end 2002
  - Randomized assignment of link-local addresses
- We discuss here **Costs**:
  - Cost during initialization phase
  - Cost when address collision occurs
- Questions to be addressed:
  - How to optimize the cost incurred
  - Trade-off between cost and reliability





# IPv4 Zeroconf Protocol

- Based on **ARP Protocol**:
  - Address Resolution Protocol
  - **A** knows **B**'s IP address, wants to know MAC address of **B**
  - **A** broadcasts ARP query pkt containing **B**'s IP address
    - All machines on LAN receive this ARP query
  - **B** receives ARP pkt, replies to **A** with its (**B**'s) MAC address

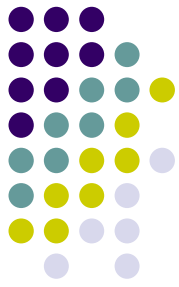




# IPv4 Zeroconf Protocol

- Zeroconf Protocol:
  - Fresh connected host (**A**) chooses randomly IP address from within 65024 link-local addresses between **169.254.1.0** and **169.254.254.255** allocated by IANA
  - **A** broadcasts ARP probe pkt containing chosen IP address
    - All hosts on LAN receive this ARP query
  - **A** waits for an answer or timeout **r**
    - If no answer, repeats **n** times
    - answer received,
      - **A** doesn't care about MAC address
      - **A** knows chosen IP address is used
      - **A** chooses randomly new IP address and repeats
  - After **n** probes, if no reply, **A** adopts chosen IP address

# IPv4 Zeroconf Protocol



- Zeroconf Protocol:
  - Suggestions in draft:
    - $n = 4$ ,
    - $r = 2$  sec for unreliable(wireless) networks and,
    - $r = 0.2$  sec for reliable ones
    - user must wait for 8 sec till new (unused) IP address is adopted
  - Decreasing  $n$  or  $r$  may lead to address collision
    - Cost of collision is very high (break active connections)
  - Trade-off between reliability (assigning unique IP address) and not disturbing the user too much
  - Q:What is the probability that a collision occurs for a given  $n$  &  $r$ ?
  - Q:What is the optimal  $n$  and  $r$  s.t. overall (mean) cost of protocol is minimum?

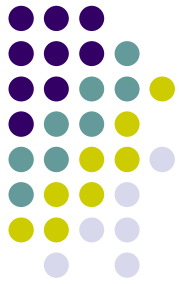
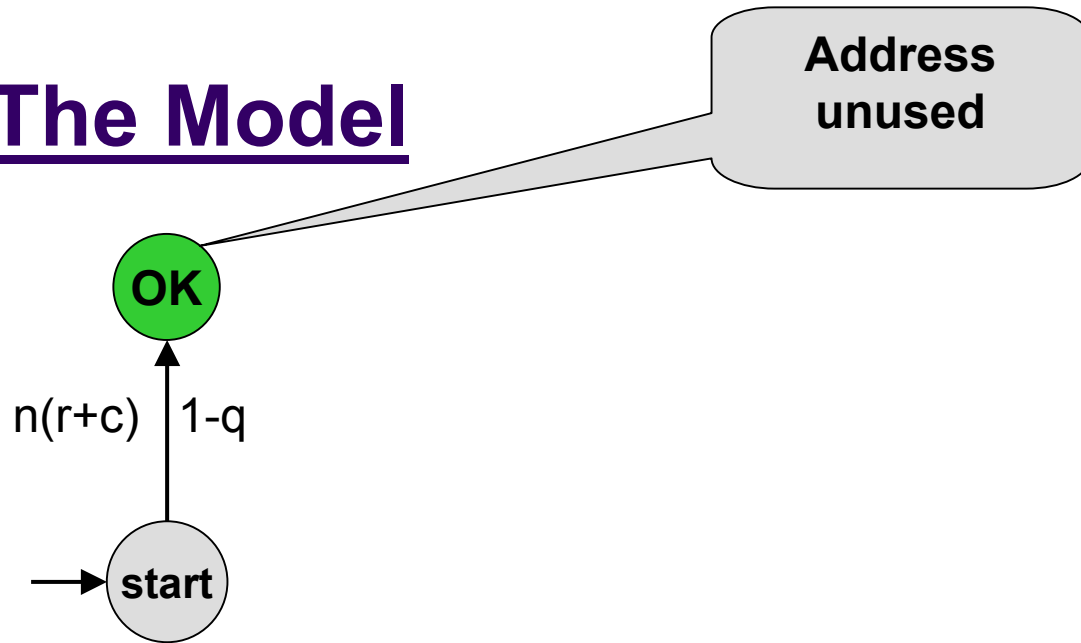


# The Model

- Consider a single freshly connected device trying to connect to an existing ad hoc network (initialization phase)
- The network consists of  $m$  devices
- At most  $n$  ARP probes have to be sent to check the chosen IP address
- Time to wait between two queries is set to  $r$
- Assume during initialization other devices neither added nor removed nor trying to get a new address
- **Family of discrete-time Markov reward models**
  - Different DTMCs for  $n = 1, 2, 3, \dots$  with costs associated with transitions as rewards



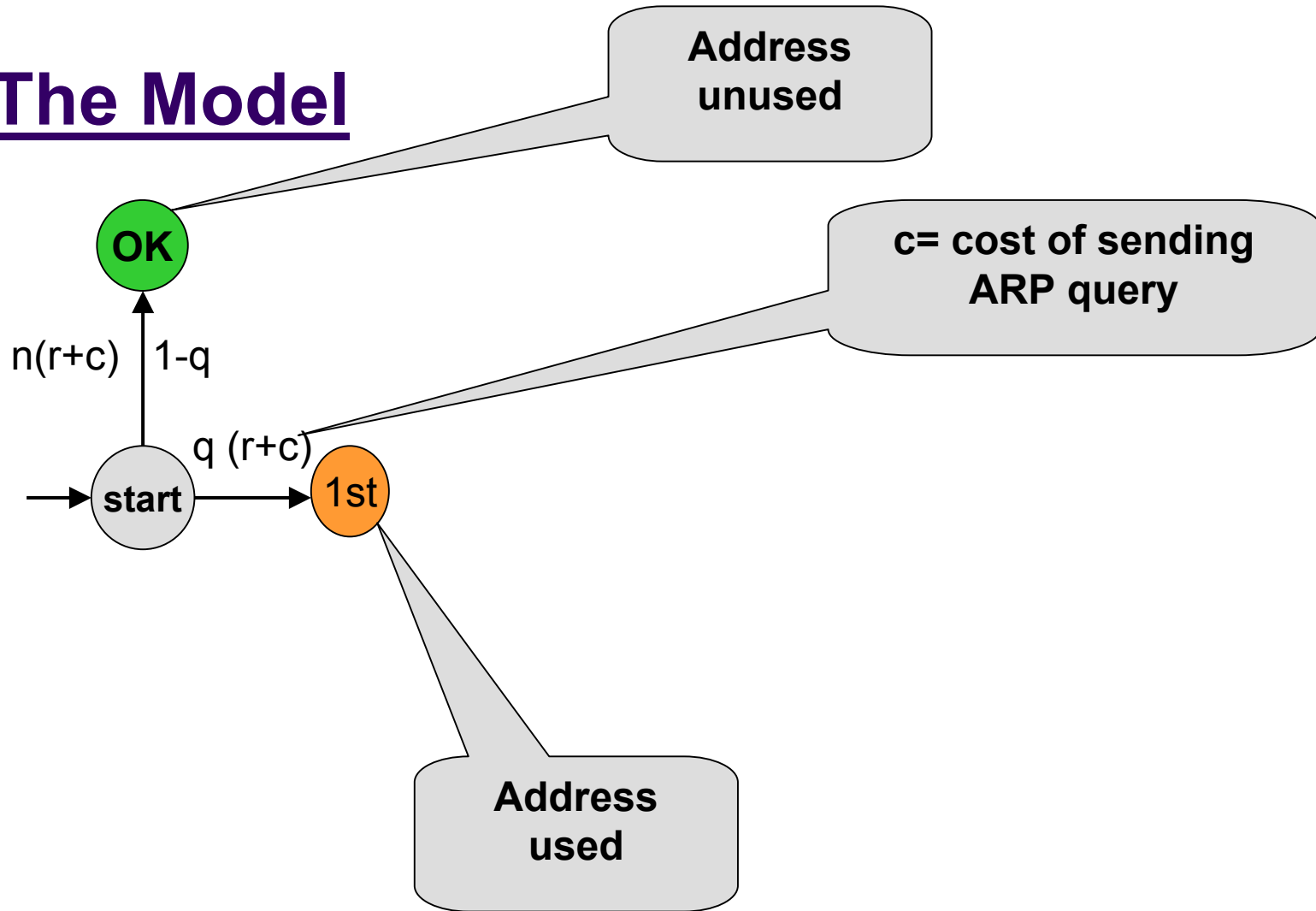
# The Model



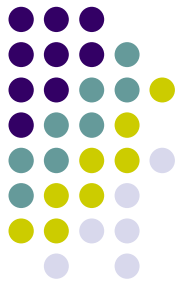
$q$  is probability of choosing used IP address  $q = m/65024$



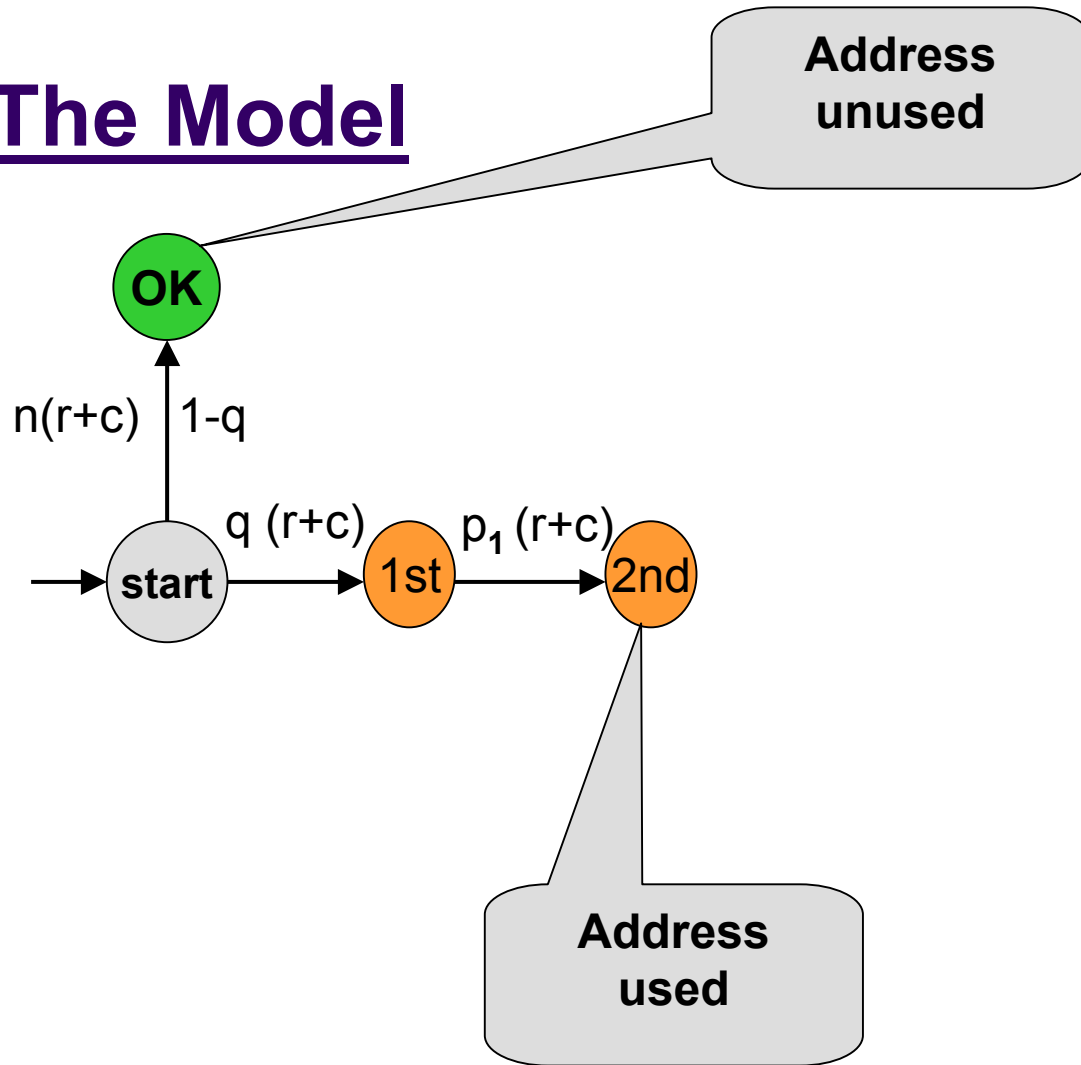
# The Model



$q$  is probability of choosing used IP address  $q = m/65024$



# The Model

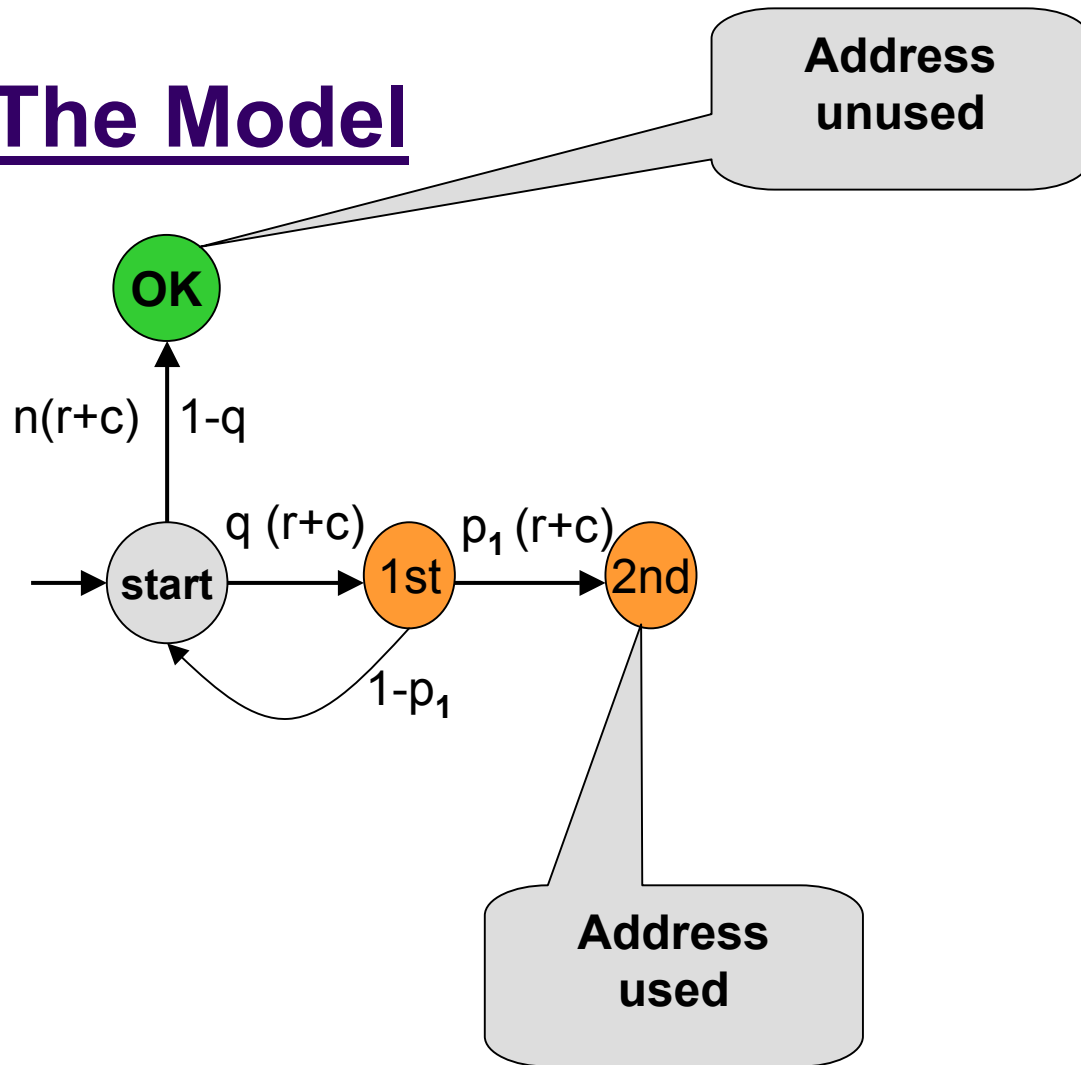


$q$  is probability of choosing used IP address  $q = m/65024$

$p_1$  probability of not receiving a reply of 1st ARP probe after  $r$  sec.



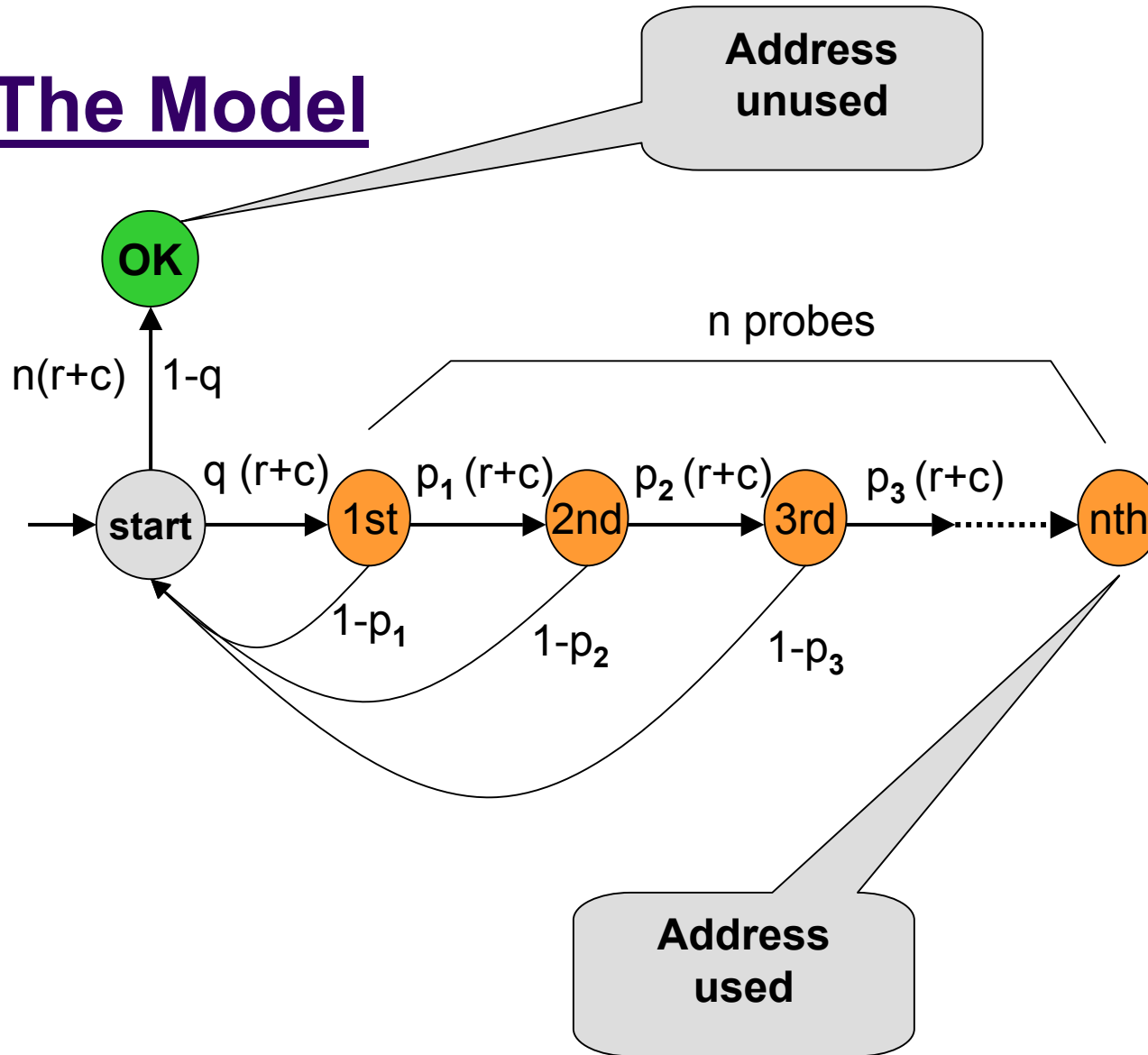
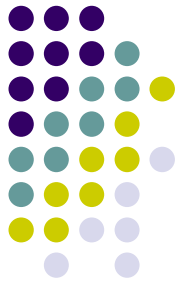
# The Model



$q$  is probability of choosing used IP address  $q = m/65024$

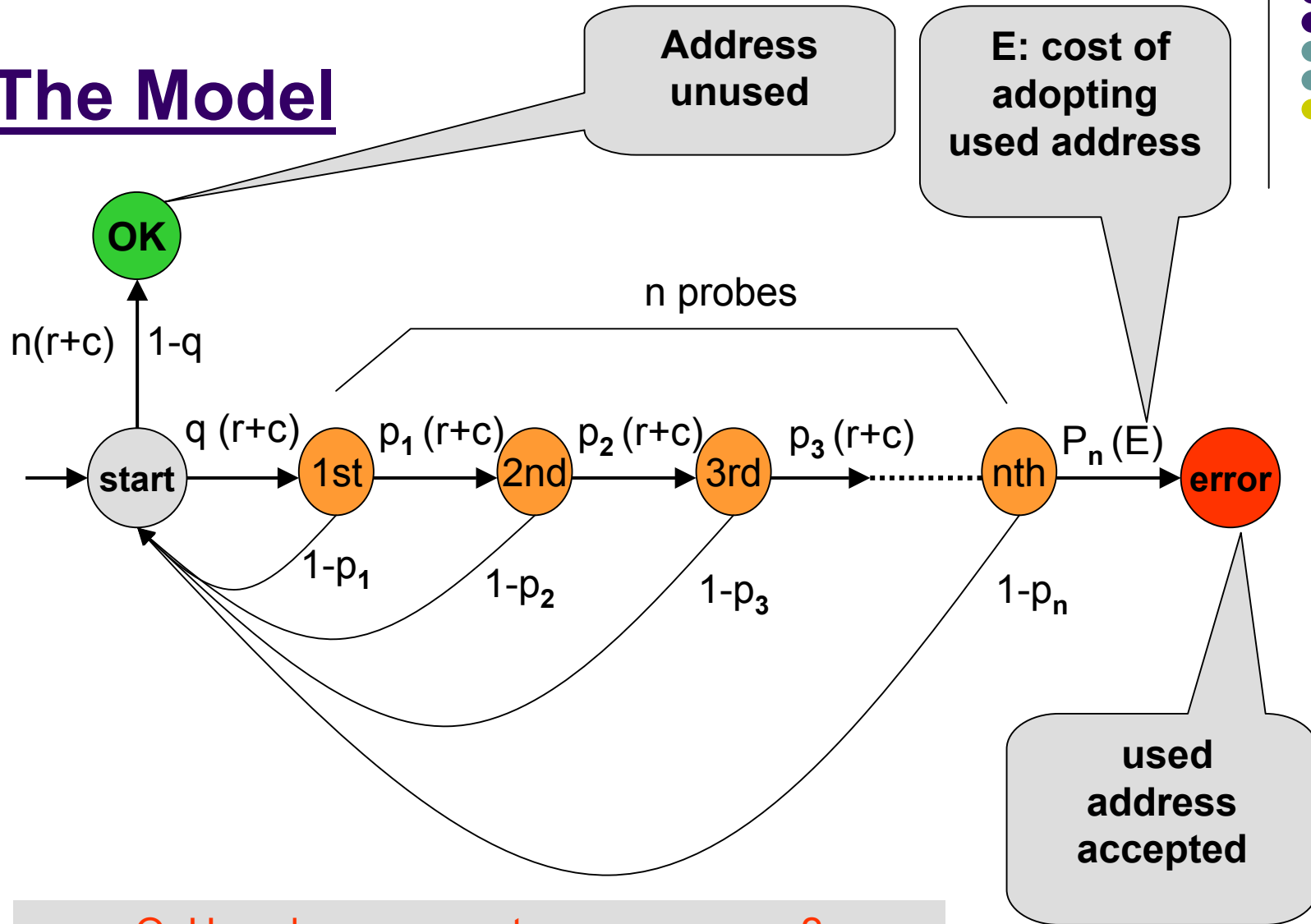
$p_1$  probability of not receiving a reply of 1st ARP probe after  $r$  sec.

# The Model





# The Model



Q: How do we compute  $p_1, p_2, p_3, \dots, p_n$  ?



# The Model: No-answer probability

- P1: Pr(no answer of probe1 received within  $0 \rightarrow r$ )
- P2: Pr(neither answer of probe1 nor of probe2 received in  $r \rightarrow 2r$ )
- P3: Pr(neither answer of probe1,2 nor probe3 received in  $2r \rightarrow 3r$ )
- .....
- Pn:  
Pr(neither answer of probe1,2,3,... nor of probe n received in  $r \rightarrow 2r$ )
- $F_X(t) = \Pr(X \leq t)$ ,
  - X: time at which a reply to an ARP probe is received
  - $F_X$ : defective distribution function s.t  $\lim_{t \rightarrow \infty} F_X(t) = L < 1$   
i.e packets may get lost

$$P(i,r) = \prod_{j=1}^i \left( 1 - \frac{F_X(jr) - F_X((j-1)r)}{1 - F_X((j-1)r)} \right)$$

# The Model: Abstract cost



- Sources of cost:
  - time cost : represented by  $r$
  - Network usage cost:  $c$
  - Cost of erroneously accepting already used IP address:  $E$ 
    - Cost of beaking connections
    - Cost of reconfiguration of both hosts
    - Cost of user dissatisfaction with the product
    - ...etc
- The mean total cost of the protocol
  - Mean total cost incurred during initialization
  - Starting from state „start“ ending with steady states „OK“ or „error“
  - Sum up all costs of all possible paths





# The Model: cost function

- Probability matrices  $\mathbf{P}_n = ( p_{ij}^{(n)} )$ ,  $i, j = 1, 2, 3, \dots, n+3$  for  $n=1, 2, \dots$
- Cost matrices  $\mathbf{C}_n = ( c_{ij}^{(n)} )$ ,  $i, j = 1, 2, 3, \dots, n+3$  for  $n=1, 2, \dots$

	1 Start	2	3	4	....	n	n+1	n+2 error	n+3 OK
1 Start	0	$q$ ( $r+c$ )	0	0	....	0	0	0	$1-q$ ( $n(r+c)$ )
2	$1-p_1$	0	$P_1$ ( $r+c$ )	0	....	0	0	0	0
3	$1-p_2$	0	0	$P_2$ ( $r+c$ )	....	0	0	0	0
•	•	•	•	•	•	•	•	•	•
•	•	•	•	•	•	•	•	•	•
•	•	•	•	•	•	•	•	•	•
n	$1-p_{n-1}$	0	0	0	....	0	$P_{n-1}$ ( $r+c$ )	0	0
n+1	$1-p_n$	0	0	0	....	0	0	$P_n(E)$	0
n+2 error	0	0	0	0	....	0	0	1	0
n+3 OK	0	0	0	0	....	0	0	0	1



# The Model: cost function

- Mean total cost:  $\underline{a} = (a_1^{(n,r)}, \dots, a_{n+1}^{(n,r)})^T$
- the mean total cost of state  $i$  :

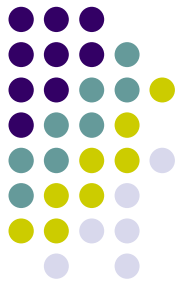
$$a_i^{(n,r)} = \sum_{j=1}^{n+3} \rho_{ij}^{(n)} (c_{ij}^{(n)} + a_j^{(n)}) \quad , i = 1, 2, 3, \dots, n+1$$

- Let  $\mathbf{P}'_n = (\rho_{ij}^{(n)})$  ,  $i, j = 1, 2, 3, \dots, n+1$

$$\text{and } \underline{w} = (w_1, \dots, w_{n+1})^T \quad , w_i = \sum_{j=1}^{n+3} \rho_{ij} c_{ij}$$

Then  $\underline{a}$  can be computed from  $\underline{a} = \mathbf{P}'_n \cdot \underline{a} + \underline{w}$

or better  $\underline{a} = -(\mathbf{P}'_n - \mathbf{I})^{-1} \underline{w}$



# The Model: cost function

- We consider the mean total cost of state „start“:  $a_1^{(n,r)}$

$$C(n,r) = \frac{(r+c) \left( n(1-q) + q \sum_{i=0}^{n-1} \pi_i(r) \right) + qE\pi_n(r)}{1 - q(1 - \pi_n(r))}$$

where  $\pi_i(r) = \prod_{j=0}^i p_j(r)$  , for  $i = 0, \dots, n$

- Next step:
  - Fix  $c, q, E$  and  $F_x$  to find **optimal**  $n$  and  $r$  for minimal cost
  - Fix  $n$  and  $r$  and perform **sensitivity analysis**



# The Model: optimization

Linearly increasing

$$C_n(r) = \frac{(r+c) \left( n(1-q) + q \sum_{i=0}^{n-1} \pi_i(r) \right) + qE\pi_n(r)}{1-q(1-\pi_n(r))}$$

Polynomially decreasing

- Consider  $C_n(r)$  as family of functions  $\{C_n(r)\}$
- Compute  $r_{\text{opt}}^{(n)}$  numerically such that  $C_n(r_{\text{opt}}^{(n)})$  is minimal
- $r = 0 \rightarrow p_i(0) = 1 \rightarrow C(0) = qE$
- $\lim_{r \rightarrow \infty} F_X(r) = L \rightarrow \lim_{r \rightarrow \infty} p_i(r) = 1-L \rightarrow \lim_{r \rightarrow \infty} \pi_i(r) = (1-L)^i$

$$\Rightarrow A_n(r) = \frac{(r+c) \left( n(1-q) + q \frac{1 - (1-L)^n}{L} \right) + qE(1-L)^n}{1-q}$$

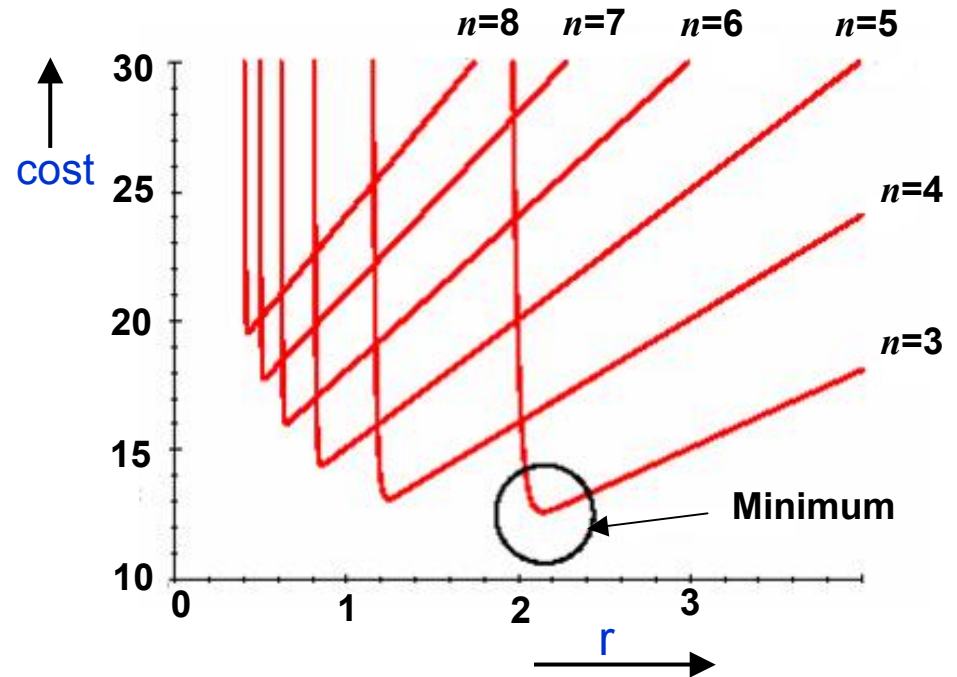


# The Model: optimization

- Example plots:

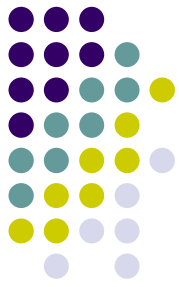
- $$F_X(r) = \begin{cases} L.(1 - e^{-\lambda(r-d)}) & \text{for } r \geq d \\ 0 & \text{otherwise} \end{cases}$$

- $d = 1$  , round-trip delay
- $\lambda = 10$ , mean time a reply is received after sending ARP probe  $d+1/\lambda$
- $m = 1000$ ,  
hence  $q = 1000/65024$
- $c = 2$  ,  $E = 10^{35}$



Cost function for  $n= 1, \dots, 8$

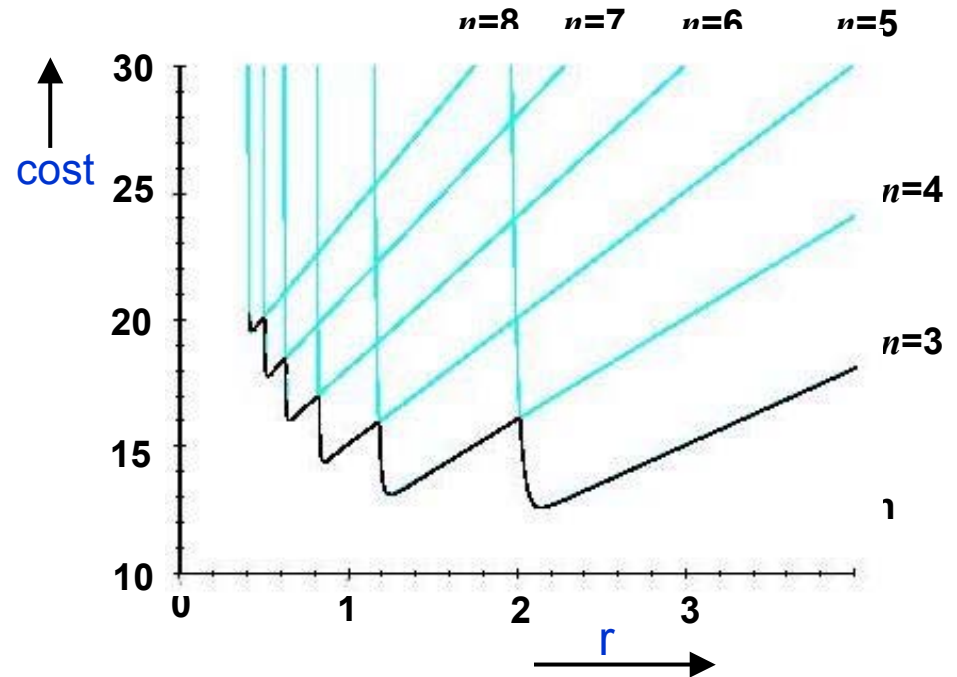
# The Model: optimization



- Example plots:

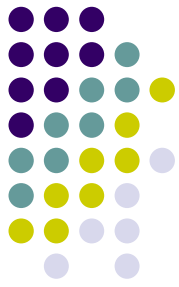
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Cost function for  $n = 1, \dots, 8$

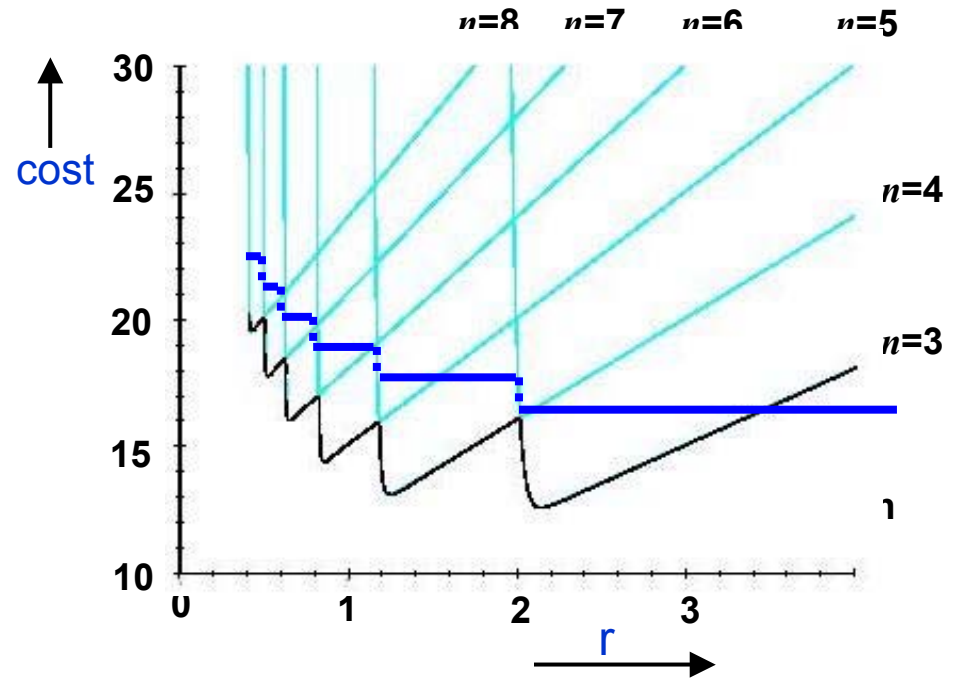
# The Model: optimization



- Optimal  $n$ :
  - Find  $N(r)$  such that:

$$C_{\min} = C( N(r) , r )$$

The lower  $r$  is,  
the lower the cost is





# The Model: optimization

- Optimal  $n$ :

$$A_n(r) = \frac{(r+c) \left( n(1-q) + q \frac{1 - (1-L)^n}{L} \right) + qE(1-L)^n}{1-q}$$

- Hence, the minimal value of  $n$  is:

$$v = \left\lceil - \frac{\log(E)}{\log(1-L)} \right\rceil$$

To minimize the cost this should approach zero





# The Model: Reliability

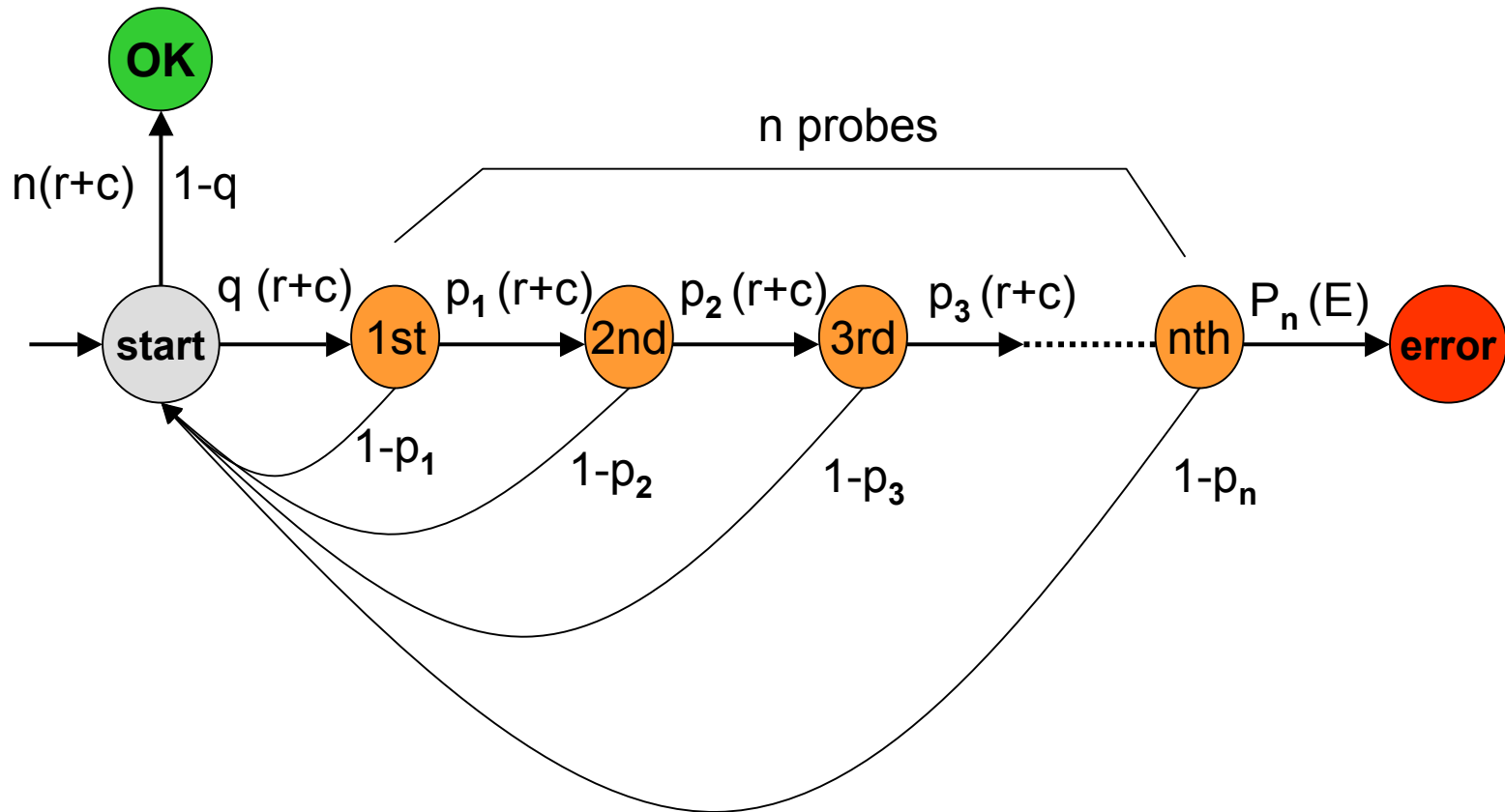
- **Reliability**: probability that unused IP addressed is selected at the end of initialization phase. i.e probability to end up in state **OK**

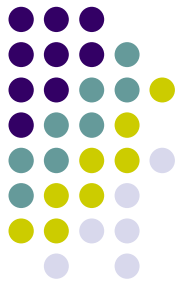
	1 Start	2	3	4	....	n	n+1	n+2 error	n+3 OK
1 Start	0	$q$ ( $r+c$ )	0	0	....	0	0	0	$1-q$ ( $n(r+c)$ )
2	$1-p_1$	0	$P_1$ ( $r+c$ )	0	....	0	0	0	0
3	$1-p_2$	0	0	$P_2$ ( $r+c$ )	....	0	0	0	0
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
n	$1-p_{n-1}$	0	0	0	....	0	$P_{n-1}$ ( $r+c$ )	0	0
n+1	$1-p_n$	0	0	0	....	0	0	$P_n(E)$	0
n+2 error	0	0	0	0	....	0	0	1	0
n+3 OK	0	0	0	0	....	0	0	0	1



# The Model: Reliability

- **Reliability**: probability that unused IP addressed is selected at the end of initialization phase. i.e probability to end up in state **OK**





## The Model: Reliability

- **Reliability**: probability  $R(n,r)$  that unused IP address is selected at the end of initialization phase (probability to end up in state **OK**).
- We drive  $1 - R(n,r)$

$$1 - R(n,r) = \sum_{K=1}^{\infty} (\mathbf{P}'_{\mathbf{n}})^{K-1} \underline{e}_{\mathbf{n}} = \underline{s}_{\mathbf{n}} (\mathbf{I} - \mathbf{P}'_{\mathbf{n}})^{-1} \underline{e}_{\mathbf{n}}$$

$$\rightarrow 1 - R(n,r) = \frac{q\pi_n(r)}{1 - q(1 - \pi_n(r))}$$



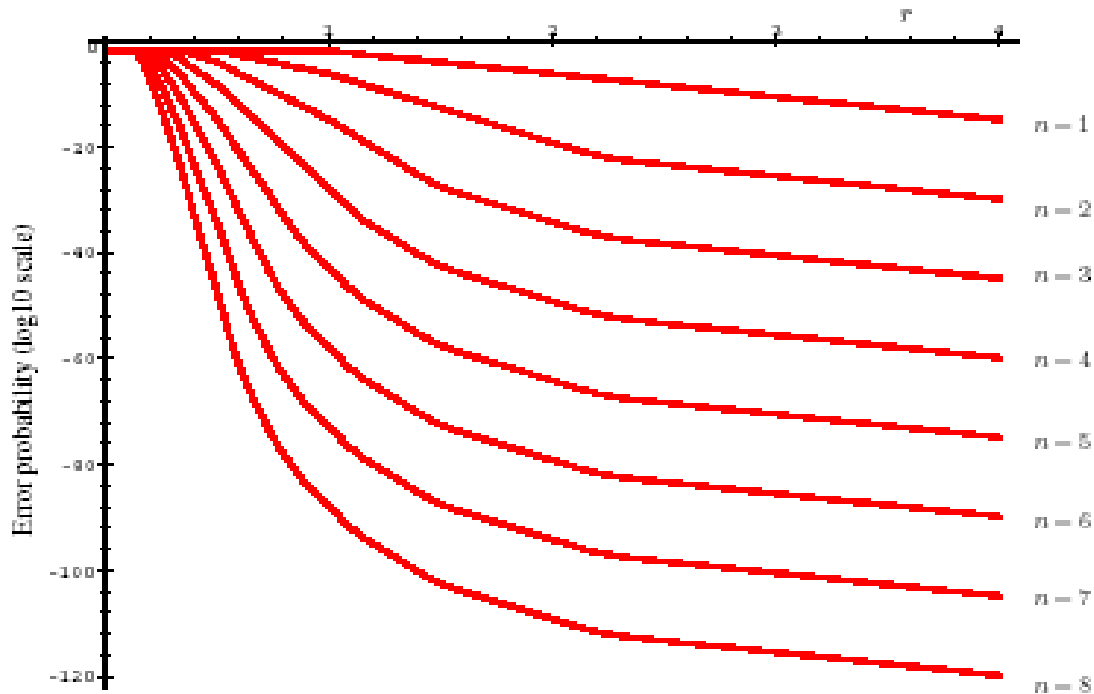
# The Model: Reliability

- Error probability (of adopting used IP address)

$$1 - R(n, r) = \frac{q\pi_n(r)}{1 - q(1 - \pi_n(r))}$$

$r$  →

Error probability





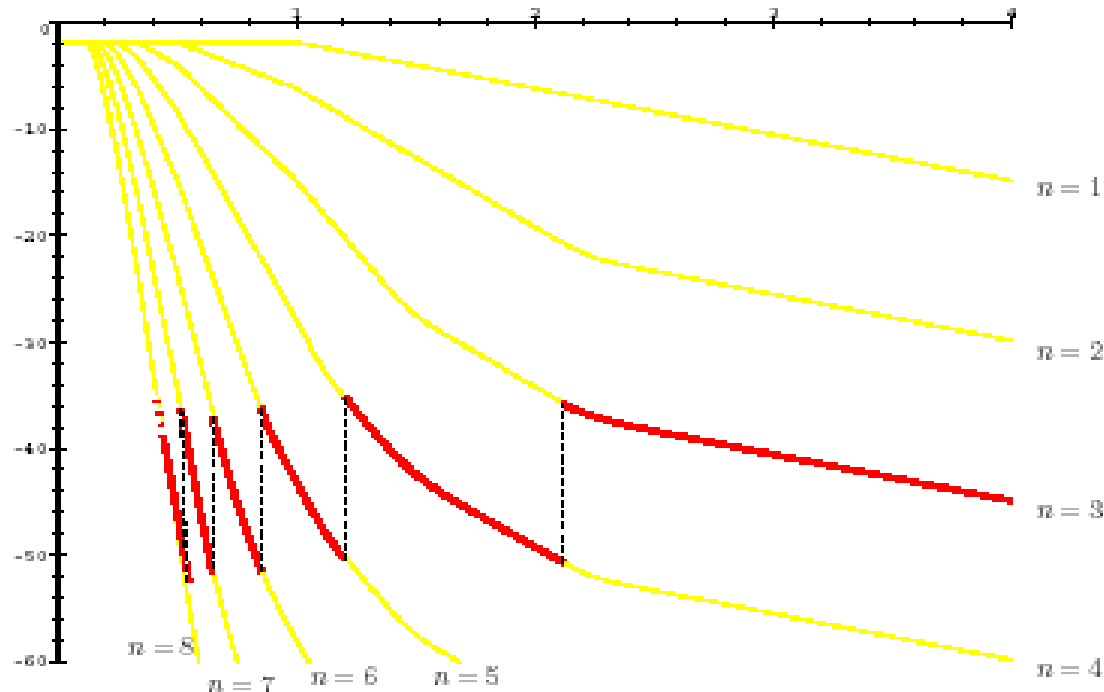
# The Model: Reliability

- Error probability (of adopting used IP address)

$$1 - R(N(r), r) = \frac{q\pi_n(r)}{1 - q(1 - \pi_n(r))}$$

The lower  $r$  is,  
the lower the reliability is

Error probability





# Summary and Conclusion

- Family of Discrete-time Markov reward models has been used to model the protocol run

- Cost function :

$$C(n, r) = \frac{(r+c) \left( n(1-q) + q \sum_{i=0}^{n-1} \pi_i(r) \right) + qE\pi_n(r)}{1 - q(1 - \pi_n(r))}$$

- Optimal parameters can be computed using numerical solutions

- Optimal n : 
$$n > \left\lceil \frac{-\log(E)}{\log(1-L)} \right\rceil$$

- Trade-off between cost and Reliability

- The lower r is set, the lower the cost become, but also the reliability decreases then